

# GREENLEAF HEALTH REGULATORY POLICY SERVICES CAPABILITIES

## OUR EXPERIENCE. YOUR SUCCESS.

Greenleaf Health is a leading FDA regulatory consulting firm guiding companies through the changing FDA landscape.

### ABOUT GREENLEAF HEALTH

## 300 YEARS OF COMBINED FDA EXPERIENCE



#### WHY GREENLEAF

Founded in 2007, Greenleaf Health is a leading FDA regulatory consulting firm that provides strategic and technical guidance to pharmaceutical, biotechnology, and medical device companies researching, developing, and manufacturing innovative solutions to pressing global public health challenges.

Greenleaf's robust blend of technical skill and FDA institutional knowledge enables the firm to provide reliable, objective guidance to companies developing medical products for the U.S. market.

## UNMATCHED EXPERTISE

Greenleaf's team brings unmatched expertise that companies need when navigating today's evolving FDA regulatory environment. The firm includes former leaders and regulatory professionals from the FDA, Capitol Hill, top global pharmaceutical and medical device companies, leading law firms, and the U.S. biotechnology trade organization.

Greenleaf experts draw on a combined total of more than 300 years of FDA experience and a network of technical specialists. This wealth of experience informs Greenleaf's understanding of the broad life sciences industry and allows us to deliver valuable insight throughout the product lifecycle.

#### COMPREHENSIVE SERVICES





## PRODUCT QUALITY, MANUFACTURING & COMPLIANCE

Greenleaf experts identify and promote practices and procedures that will align a client's approach with the FDA's quality, safety, and compliance expectations.



### COMPLIANCE AUDIT, TRAINING & REMEDIATION

Greenleaf works with a network of independent technical experts who provide comprehensive on-site compliance assessments, remediation, and inspection readiness.



## DRUG & BIOLOGICAL PRODUCTS

Greenleaf serves as a trusted regulatory partner, advising companies on the complex process of bringing new therapeutics to market in today's evolving FDA environment.



### MEDICAL DEVICE & COMBINATION PRODUCTS

Greenleaf applies extensive regulatory expertise to guide medical device clients from early-stage development to marketing authorization and throughout the product lifecycle.



## CELL & GENE THERAPY

Greenleaf assists sponsors of cell and gene therapies by optimizing FDA interactions and submissions to support development, manufacturing, quality, and regulatory review.



#### ADVISORY SERVICES

Greenleaf understands the complex environment within which life sciences transactions take place and performs in-depth regulatory risk assessments tailored to investors' needs.



## REGULATORY POLICY SERVICES

Greenleaf supports the needs of small and large clients to understand, implement, and comply with the FDA's regulatory programs and policies and to enhance patient access to products.



## LITIGATION SUPPORT SERVICES

Greenleaf and our network of experts work closely with litigators representing FDA-regulated clients in disputes related to medical devices, drug and biological products, and product quality, manufacturing, and compliance.

Ø Greenleaf Health



## REGULATORY POLICY SERVICES TEAM

Greenleaf's Regulatory Policy Services Team offers a rare blend of perspectives developed as leaders in both the public and private sectors. This wealth of experience equips clients with a trusted partner when seeking guidance on implementing, complying with, and communicating about FDA regulatory policy.

The team's areas of expertise include:

- Insight into FDA regulatory policy, including novel programs
- Regulatory intelligence and scientific policy
- Effective communication on regulatory policy issues
- Product designations and access to expedited programs
- Regulatory issues arising both pre and post market
- Building client capacity and understanding of regulatory policy issues



KATE COOK

Principal, Regulatory Policy

20 years of FDA experience in policy development and as legal counsel on biological, medical device, and drug issues.



STEPHEN MASON

Executive Vice President, Regulatory Policy

Accomplished and diverse career of 20+ years specializing in regulatory and legislative policy development and analysis.



SEAN HILSCHER

Vice President, Regulatory Policy

10+ years of experience in the international and U.S. health care markets.



RHONA BANIQUED

Director of Operations, Drug & Biological Products

More than 18 years of private sector marketing and project management experience.

#### REGULATORY POLICY SERVICES



Regulatory policy is an essential component of the FDA regulatory landscape – it helps shape how regulators make decisions about the development, approval, and marketing of drugs, biological products, medical devices, and combination products. These policy decisions determine the feasibility of the development and use of new technologies and directly affect patient access to medical products.

Greenleaf's regulatory policy services are designed to support the needs of large and small clients as they navigate FDA regulations and regulatory policies. The firm's team of experts works crossfunctionally to assist pharmaceutical and biotechnology companies, medical device manufacturers, patient groups, trade associations, and other stakeholders in communicating effectively about FDA regulatory policy issues and in understanding, implementing, and complying with the FDA's regulatory programs.



#### **EXPERT INSIGHT**

Greenleaf's well-regarded professionals have each spent decades working in leadership roles at regulatory agencies and within regulated industry. The Regulatory Policy Services Team provides advice and recommendations based on extensive institutional knowledge of the FDA's overarching regulatory approach, as well as deep and granular expertise regarding FDA regulation of medical product types across the product lifecycle.



#### TARGETED CAPABILITIES

Greenleaf offers unmatched insight on pre- and postmarket regulatory policy issues, product designations, expedited programs, regulatory intelligence, and scientific policy. Clients utilize our depth and breadth of knowledge to understand regulatory policy issues and build their capacity to implement, comply with, and communicate about FDA regulatory policy.



#### **COMPREHENSIVE SERVICES**

Greenleaf's regulatory policy services address FDA regulations and regulatory policies to support clients' participation in FDA programs and regulatory compliance and to enhance patient access to innovative medical products.

Comprehensive services include:

- Assistance with designing and implementing regulatory policy strategies to effectively advance priorities
- Guidance on policy issues related to development programs, combination products, submissions for marketing authorization, and the postapproval phase
- Stakeholder engagement and alliance development to achieve regulatory policy goals
- User fee negotiation support, impact assessment, and program development
- Strategic regulatory guidance for sponsors seeking access to FDA programs and designations, including orphan drug, breakthrough therapy, RMAT, and other expedited pathways
- · Advice on incorporating novel tools and approaches into development programs



# DRUG & BIOLOGICAL PRODUCTS TEAM

Greenleaf's Drug and Biological Products Team has a robust blend of regulatory and policy expertise and FDA institutional knowledge. The team's approach is guided by decades of regulatory experience in drug and biological product development, spanning all therapeutic areas.



JOHN JENKINS, M.D.

Principal, Drug & Biological Products

Former Director of the Office of New Drugs
within the FDA's Center for Drug Evaluation
and Research (CDER).



BOB MEYER, M.D.

Principal, Drug & Biological Products
A leader in drug and biological product
lifecycle management with 25+ years of
regulatory and academic leadership.



KAREN MIDTHUN, M.D.

Principal, Drug & Biological Products
28-year career in public service, including as
Director of the FDA's Center for Biologics
Evaluation and Research (CBER).



KATE COOK

Principal, Regulatory Policy
20 years of FDA experience in policy
development and as legal counsel on
biological, medical device, and drug issues.



JOSEPH GRIFFIN
EVP, Drug & Biological Products
20+ years of FDA service with a vast
knowledge of the drug regulatory process,
promotion, and labeling.



BRIAN CORRIGAN
EVP, Regulatory Policy
10+ years of experience in the
biopharmaceutical industry provides in-depth
knowledge of the U.S. health care system.



JULIA BARRETT, M.D. EVP, Drug & Biological Products 23-year career in clinical regulatory consulting for biologics and drugs and 5 years with the FDA's CBER.



STEPHEN MASON
EVP, Regulatory Policy
Accomplished and diverse career
specializing in regulatory and legislative
policy development and analysis.



CHRIS LEPTAK, M.D., Ph.D. SVP, Drug & Biological Products
14-year FDA tenure, including service as Acting Office Director of CDER's Office of Drug Evaluation Science.



SEAN HILSCHER

VP, Regulatory Policy

More than 10 years of experience as a consultant and product manager in the international and U.S. health care markets.



RHONA BANIQUED
Director of Operations,
Drug & Biological Products
More than 18 years of private sector
marketing and project management
experience.



BECCA HUNT
Associate Director of Operations,
Drug & Biological Products
Expertise in program management,
facilitation, assessment, and reporting.

#### 0

## DRUG & BIOLOGICAL PRODUCTS SERVICES

Greenleaf's Drug and Biological Products Team specializes in providing strategic and technical guidance on medical product development, regulatory review, and postmarket requirements, working closely with clients to navigate today's evolving FDA regulatory environment.



#### UNMATCHED REGULATORY EXPERIENCE

Greenleaf serves as a trusted regulatory partner, advising clients on the complex process of bringing new therapies to market. The team's multidisciplinary expertise helps companies evaluating and prioritizing their drug development pipeline to understand and effectively manage regulatory risk.



#### PREMARKET REVIEW PROCESS

Greenleaf's team of experts provides strategic and technical consultation on: scientific and regulatory practices for clinical programs and regulatory submissions, including endpoint and biomarker selection and development; identification of and eligibility for special designations (e.g., breakthrough therapy designation, fast track, and accelerated approval); use of real-world evidence in regulatory submissions; and preparation for FDA milestone meetings (e.g., EOP2, pre-filing, mid-cycle, and end-of-review meetings).



## REGULATORY POLICY SERVICES

Greenleaf offers strategic regulatory policy insight to support stakeholders' participation in and compliance with FDA programs and improve patient access to innovative medical products. Our team draws on deep expertise and institutional knowledge related to FDA regulatory policy, including novel programs; product designations and access to expedited programs; regulatory issues arising both pre and post market; regulatory intelligence and scientific policy; and building clients' capacity to effectively advance their regulatory policy priorities.



## CELL & GENE THERAPY

The Drug and Biological Products Team assists sponsors of cell and gene therapies with FDA interactions and submissions — including early INTERACT and pre-IND meetings; requests for orphan drug, RMAT, and rare pediatric disease designations; and data comparability questions — and helps to clarify FDA requirements for specific types of cellular products. The team also provides guidance on how to maximize clinical trial design for cell and gene therapies to treat rare and ultra-rare diseases.

#### PRODUCT QUALITY, MANUFACTURING & COMPLIANCE TEAM

Greenleaf's Product Quality, Manufacturing, and Compliance Team has a proven track record of achieving success and providing services that are recognized as best in class by companies seeking to strengthen their quality management systems.



JOHN TAYLOR

President and Principal,
Compliance & Regulatory Affairs

Former FDA senior official held high-profile positions at the Agency, and senior leadership roles within industry.



CYNTHIA SCHNEDAR

Principal, Regulatory Compliance
25-year compliance career includes serving as Director of the Office of Compliance for the FDA's Center for Drug Evaluation and Research (CDER).



DAVID ELDER
Principal, Regulatory Compliance
23-year veteran of the FDA, with
prominent roles in domestic and
foreign inspections, recalls and
emergencies, and compliance actions.



KALAH AUCHINCLOSS

Executive VP, Regulatory Compliance
15 years of experience on Capitol Hill, in
the private sector, and at the FDA,
including role as Deputy Chief of Staff.



KRISTEN GRUMET
Senior VP, Regulatory Compliance
25-year compliance career, including role
as an FDA field investigator specializing in
medical devices.



GRACE MCNALLY
Senior VP, Regulatory Compliance
33-year FDA career, including experience as an investigator and leadership of many pharmaceutical cGMP and quality initiatives.



VP, Regulatory Compliance
Diverse background provides critical expertise within legal, public policy, regulatory advocacy, and non-profit sectors.



MARIA BONNER

VP, Regulatory Compliance
Policy and legal experience in both the public and private sectors provides deep understanding of regulatory policy.



MADELEINE GIAQUINTO
Manager, Regulatory Affairs
Legal and policy expertise and experience
advising on compliance with federal health
programs and regulations.



BRITTANY MILBY
Exec. Director of Operations,
Regulatory Compliance
9+ years of experience in pharmaceutical
marketing, communications, and planning.



LAURA BARTEE
Director of Operations,
Regulatory Compliance
10+ years of private sector operational
and administrative experience.

To enhance the firm's robust quality and compliance services, Greenleaf works with an Independent Contractor Network of additional technical experts who can be deployed to provide on-site services at entities that manufacture FDA-regulated products.



## QUALITY & COMPLIANCE SERVICES

Greenleaf offers an enhanced portfolio of services that includes comprehensive on-site compliance assessments, remediation, and inspection readiness, in addition to the firm's core regulatory consulting capabilities.



#### **COMPLIANCE ASSESSMENTS**

Greenleaf works with life science entities to evaluate and strengthen compliance functions with an eye toward optimizing processes, mitigating risks, and creating a culture of compliance, while continuing to meet business objectives.



#### FDA COMMUNICATIONS

Greenleaf's best-in-class experts bring value to any communication with the FDA, including formal regulatory communication, in-person meetings, and responses to compliance actions and regulatory correspondence.



#### **COMPLIANCE REMEDIATION**

Greenleaf experts bring an unmatched level of credibility and trust when interacting with the FDA. The team has the experience and insight to successfully guide companies along the remediation pathway.



## CONSULTATION, TRAINING & REGULATORY GUIDANCE

Greenleaf delivers insight and guidance that help clients achieve business and regulatory objectives. The team also develops and delivers training on a variety of regulatory subjects.



#### INSPECTION READINESS

Greenleaf experts strengthen clients' readiness for FDA preapproval and surveillance inspections through consulting, training, audits, and mock inspections.



#### **GCP SFRVICES**

Greenleaf specialists use a risk-based methodology to develop and improve clinical quality systems. Because there is no onesize-fits-all approach to GCP compliance, each solution is built to fit the targeted needs of the client.



#### SUPPLY CHAIN OPTIMIZATION

Greenleaf uses a systemic approach to help clients strengthen and safeguard the integrity of their supply chain management practices and comply with the regulatory requirements of the Drug Supply Chain Security Act.



#### **CELL & GENE THERAPY**

Greenleaf helps sponsors establish manufacturing and quality controls and engage with the FDA to address potential technical and regulatory challenges related to emerging manufacturing technologies.

#### The Contract of the Contract o

# MEDICAL DEVICE & COMBINATION PRODUCTS TEAM

Guided by decades of experience, Greenleaf's Medical Device and Combination Products Team provides unmatched knowledge of the life sciences regulatory process and serves as a trusted partner for companies navigating the complexities of product lifecycle management.



DANIEL SCHULTZ, M.D.

Principal, Medical Device & Combination Products

Former Director of the FDA's Center for Devices and Radiological Health (CDRH); 35-year career includes service as a physician, senior FDA official, and member of the U.S. Public Health Service.



**HEATHER ROSECRANS** 

**Executive Vice President, Medical Device & Combination Products** 

One of the nation's leading 510(k) experts, with an FDA career that spanned more than 30 years and included a pivotal role in developing the FDA's 510(k) program.



#### MAURA NORDEN

Executive Vice President, Medical Device & Combination Products General Counsel

15 years of experience advising FDAregulated entities, investors, and public health organizations on a broad range of FDA regulatory matters.



MARK KRAMER

**Executive Vice President, Medical Device** & Combination Products

17-year FDA career includes establishing and directing the Office of Combination Products and leading interdisciplinary review teams in CDRH.



KATE COOK

issues.

Principal, Regulatory Policy 20 years of FDA experience in policy development and as legal counsel on biological, medical device, and drug



SAMANTHA EAKES

**Director, Regulatory Affairs** 

Master's in Public Health from the Boston University School of Public Health provides critical public health, advocacy, and regulatory knowledge.



**CATHERINE ROWE** 

Director of Operations, Medical Device & Combination Products

More than 20 years of professional experience in marketing, sales, and project management.



# MEDICAL DEVICE & COMBINATION PRODUCTS SERVICES

Greenleaf's Medical Device and Combination Products Team applies extensive FDA experience to determine the best regulatory approach for a product and develop a comprehensive strategy to achieve a successful result.



#### UNMATCHED REGULATORY EXPERIENCE

Greenleaf guides clients through the complex regulatory process, from the earliest stages of product development, through the FDA review process, to marketing authorization and compliance with postmarket requirements and quality systems.



## PREMARKET REVIEW PROCESS

Greenleaf provides expert direction on scientific and regulatory strategies for clinical programs and regulatory submissions. Experts also provide recommendations and preparation for FDA meetings, including medical device advisory panel meetings, and FDA communications.



## MARKETING & PROMOTIONAL PRACTICES

Greenleaf provides strategic guidance to medical device firms on labeling requirements, promotional materials, direct-to-consumer advertising review processes, and use of social media. Experts also guide companies in remediation of untitled and warning letters.



## LITIGATION SUPPORT SERVICES

Greenleaf's deep bench of experts can offer litigators a high-level institutional knowledge of the FDA as well as more granular expertise regarding FDA regulation of a variety of product types across the product lifecycle. Greenleaf professionals have experience preparing expert declarations, drafting professional expert reports and depositions, and testifying at trial, and have worked with litigators representing FDA-regulated clients in a wide array of disputes involving complex FDA issues.

# CELL & GENE THERAPY TEAM

Experts from Greenleaf's Cell and Gene Therapy Team demonstrate unmatched levels of skill in their specialties of drug and biological products and product quality, manufacturing, and compliance. Led by Karen Midthun, M.D., and John Taylor, the team is guided by decades of regulatory experience in senior FDA positions, global public health organizations, academia, and industry.



JOHN TAYLOR

President and Principal,
Compliance & Regulatory Affairs
Former FDA senior official held highprofile positions at the Agency, and
senior leadership roles within industry.



KAREN MIDTHUN, M.D.

Principal, Drug

& Biological Products

28-year career in public service, including as Director of the FDA's Center for Biologics Evaluation and Research (CBER).



KATE COOK

Principal, Regulatory Policy
20 years of FDA experience in policy
development and as legal counsel on
biological, medical device, and drug issues.



CYNTHIA SCHNEDAR

Principal, Regulatory Compliance
25-year compliance career includes
serving as Director of the Office of
Compliance for the FDA's Center for
Drug Evaluation and Research.



JOHN JENKINS, M.D.

Principal, Drug &
Biological Products

Former Director of the Office of New
Drugs within the FDA's Center for Drug

Evaluation and Research.



BOB MEYER, M.D.

Principal, Drug

& Biological Products

A leader in drug and biological product lifecycle management with 25+ years of regulatory and academic leadership.



JULIA BARRETT, M.D. EVP, Drug & Biological Products 23-year career in clinical regulatory consulting for biologics and drugs and 5 years with the FDA's CBER.



MADELEINE GIAQUINTO

Manager, Regulatory Affairs

Legal and policy expertise and experience advising on compliance with federal health programs and regulations.

#### CELL & GENE THERAPY SERVICES

Greenleaf's cell and gene therapy services support companies striving to introduce new products to patients. The firm's team of experts has a robust blend of technical skill and FDA institutional knowledge that spans all therapeutic areas and quality, manufacturing, and compliance systems. By working cross-functionally, Greenleaf ensures that clients have the comprehensive, specialized guidance needed to understand and navigate the complex regulatory landscape for cell and gene therapies.



## PRODUCT DEVELOPMENT & REVIEW

Greenleaf's team specializes in strategic communications with the FDA at every stage of product development – early INTERACT meetings, pre-IND and meetings throughout development, correspondence, clinical trial design, submissions, FDA review communications and labeling discussions, and postmarket requirements.



## MANUFACTURING & QUALITY CONTROLS

Greenleaf experts provide strategic and technical support for establishing manufacturing and quality controls; pre- and postapproval inspection readiness; compliance assessments; evaluating and responding to FDA regulatory correspondence; and engaging with CBER's Advanced Technologies Team.



#### REGULATORY LANDSCAPE

Greenleaf experts monitor and analyze the regulatory environment for emerging trends in cell and gene therapy regulation – including orphan drug designation and exclusivity, long-term follow-up requirements, companion diagnostics, the development and use of real-world evidence, and other agency policies and actions that could potentially impact current development programs – as well as changes to the competitive landscape for cell and gene therapies.



# OUR EXPERIENCE. YOUR SUCCESS.